

Customer Due Diligence Form

Itaú Unibanco Holding S.A. –

Head Office

itaú

LEGAL NAME:	Itaú Unibanco Holding S.A.
TAX ID:	60.872.504/0001-23
OPERATING ADDRESS:	Praça Alfredo Egydio de Souza Aranha, 100, Torre Olavo Setubal, Jabaquara, São Paulo, SP, 04.344-902, Brazil

I, Mario Fernandes Pereira Junior, with citizenship in Brazil, ID number 00019671156, as the AML Manager of the aforementioned entity/company (“Company”), organized under the laws of Brazil, certify that:

I, Ana Carolina Salum, with citizenship in Brazil, ID number 344841911, as the Sanctions Specialist of the aforementioned entity/company (“Company”), organized under the laws of Brazil, certify that:

1. We agree to notify, as applicable, of any change in relation to the shareholder/beneficial ownership composition of the Company.
2. If you believe the legal entity listed under the Legal Name above falls under an express exclusion from the “legal entity customer” definition under 31 C.F.R. §1010.230(e)(2), please check the box below and identify the applicable exclusion

☒ An exclusion applies to the legal entity identified under the Legal Name above.

**Applicable exclusion:** A foreign financial institution established in a jurisdiction where the regulator of such institution maintains beneficial ownership information regarding such institution.

**If the box above is checked, please skip sections I and II of item 3 below.**

3. The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns equity interests of the Company listed above:

**I – PRINCIPAL SHAREHOLDERS** (Owning at least 10% of Outstanding Capital Stock)

Beneficial owner of an account are individual(s) who have a level of control over, or entitlement to, the funds or assets in the account that, as a practical matter, enables the individual, directly or indirectly, to control, manage, or direct the account. The customer must furnish all levels of the ownership structure until reaching a **Natural Person, State Owned Enterprise, or Publicly Traded Entity**<sup>1</sup> in the New York Stock Exchange or NASDAQ.

Please state the name(s) of all beneficial owner(s) below. For those owning at least 10% of outstanding capital stock, the Company is required to submit copies of primary identification (e.g., driver’s license, passport, or other government-issued identification) which are to be authenticated by the Corporate Secretary.

**Current Shareholder’s Registry might be required.**

	Full Legal Name [Nome Completo]	Date of Birth [Data de Nascimento]	Nationality [Nacionalidade]	Address (Residential or Business Street)	ID Type   Tax ID # [Identificação Fiscal Nº]	Ownership Stake % [% Da Propriedade]
1.						
2.						
3.						
4.						
5.						

<sup>1</sup> Entities Listed in other exchanges are required to furnish formation documents, share registries &/or primary identification for all shareholders over 10%.

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Itaú Unibanco Holding S.A is listing on the New York Stock Exchange, as follows:

<u>Title of each class</u>	<u>Trading Symbol (s)</u>	<u>Name of each exchange on which registered.</u>
- Preferred Shares, without par value	ITUB	- New York Stock Exchange
- American Depositary Shares (as evidenced by American Depositary Receipts), each representing one Preferred Share		- New York Stock Exchange

Each shareholder/beneficial owner(s) identified above owns the specified interest in the Company on his or her own behalf and no above-identified shareholder/beneficial owner(s) holds an interest in the Company either directly or indirectly on behalf of any other individual(s) or entity(ies).

Indicate whether the organizational documents of the Company permit the issuance of shares of stock in the Company in bearer form (“Bearer Shares”):

Yes ☐ / No ☒

If yes, please confirm and select one of the options below:

- a. ☐ The organizational documents of the Company permit the issuance of shares of stock in the company in bearer form (“Bearer Shares”), but there are no such Bearer Shares issued and outstanding. The company does not intend to issue Bearer Shares.
- b. ☐ The organizational documents of the Company permit the issuance of Bearer Shares, and there are currently Bearer Shares issued and outstanding. The Bearer Shares are currently in the possession of (Full Legal Name/Address):

\_\_\_\_\_

In case of complex structures, please specifically identify each layer (i.e. entity name and percentage ownership) by providing a Beneficial Ownership Certification for each entity.

## II - COMPANY DIRECTORS/BOARD MEMBERS

	<i>Full Legal Name</i> [Nome Completo]	<i>Date of Birth</i> [Data de Nascimento]	<i>Nationality</i> [Nacionalidade]	<i>Address (Residential or Business Street)</i>	<i>ID Type / Tax ID#</i> [Identificação Fiscal N°]	<i>Current Position</i> [Posição atual]
1.						
2.						
3.						
4.						
5.						

## III - ULTIMATE AUTHORITY:

The following information identifies one individual with significant responsibility for managing the legal entity listed above, such as: An executive officer or senior manager (e.g. Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or any other individual who regularly performs similar functions.

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

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	Full Legal Name	Date of Birth	Nationality	Address (Residential or Business Street)	ID Type / Tax ID #	Current Position
I.	Milton Maluhy Filho	June 08, 1976	Brazilian	Praça Alfredo Egydio de Souza Aranha, 100, SP, BR	252.026.488-80	Chief Executive Officer

(If appropriate, an individual listed under section (II) above may also be listed in this section.)

**IV – DECLARATION**

We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief.

**CUSTOMER NAME** Itaú Unibanco Holding S.A.

**Authorized Person(s) (Print Name):** Mario Fernandes Pereira Junior Ana Carolina Salum  
AML Manager Sanctions Specialist

**Signature(s):**   
Mario Fernandes Pereira Junior Ana Carolina Salum  
Ana Carolina Salum

**Signature Date:** June 27, 2024 June 27, 2024